



APPLICATION FOR FEDERAL FISHERIES PERMIT

United States Department of Commerce
National Oceanic and Atmospheric Administration
National Marine Fisheries Service, Alaska Region
Restricted Access Management
P.O. Box 21668
Juneau, Alaska 99802-1668



NOTICE: Only persons who are U.S. Citizens are authorized to receive or hold a Federal Fisheries Permit.

All applicants who plan to participate in directed fisheries for Pacific cod, pollock, and/or Atka mackerel with any gear type other than jig gear, must also complete Block D.

If ownership of the vessel listed in Block A has changed or if this is a permit application for a vessel to which a Federal Fisheries Permit has never been issued, **a copy of the U.S. Coast Guard Abstract of Title or Certificate of Documentation must be included** with this application. Applicant must sign and date the application in Block E. See application instructions for more detailed information.

Is this application being submitted in order to amend an existing Federal Fisheries Permit? ☐ Yes ☐ No

If yes, please provide your current Federal Fisheries Permit number _____

If you are amending your application, refer to the "General Information" section of the attached instructions that specifies which sections of the application form must be completed in addition to the amendments being made.

BLOCK A OWNER INFORMATION

1. Owner Name(s)

2. Business Mailing Address (street or P.O. Box, city, state, zip code)

3. SSN or Tax I.D. (SSN Voluntary)

4. Business Telephone Number

5. Business Fax Number

6. Business E-Mail Address (if any)

7. Managing Company Name (if any)

BLOCK B VESSEL INFORMATION

1. Vessel Name

2. Home Port (city and state)

3. ADF&G Processor Code

4. Is this vessel a vessel of the United States? ☐ Yes ☐ No

5. U.S. Coast Guard Documentation

6. ADF&G Vessel Registration

No. _____

No. _____

7. Length Overall (LOA) _____ Ft.

8. Gross Tonnage _____

9. Shaft Horsepower _____

Registered Length _____ Ft.

Net Tonnage _____

BLOCK C
PERMIT INFORMATION

1. **AREA OF OPERATION** Check one or both areas of operation, as appropriate.

☐ Gulf of Alaska ☐ Bering Sea/Aleutian Islands

2. **TYPE OF VESSEL OPERATION** Indicate below the type(s) of vessel operation you request in the federal groundfish fishery. A Federal Fisheries Permit may be issued for any combination of catcher vessel, catcher-processor, mothership, and/or tender vessel. In addition, a Federal Fisheries Permit may also be issued for a support vessel.

Check one or any combination of the following operation types that apply:

☐ Catcher Vessel

☐ Catcher/processor

☐ Mothership

☐ Tender Vessel (buying station)

A vessel permitted as a catcher vessel, catcher-processor, mothership, and/or tender vessel may conduct all operations authorized for a support vessel.

Or check:

☐ Support Vessel

A vessel permitted only as a support vessel may not conduct activities as a catcher vessel, catcher-processor, mothership, and/or tender vessel.

3. **GEAR TYPES** (catcher vessels and catcher/processors only)

Check only the gear types used for groundfish fishing:

☐ Trawl

☐ Hook-and-line

☐ Pots

☐ Jig

☐ Troll

Harvesting vessels (catcher and catcher/processor vessels) must complete Block D if you plan to participate in the directed fisheries for Pacific cod, pollock, and/or Atka mackerel with any gear other than jig gear.

4. **GOA INSHORE PROCESSING ENDORSEMENT** (Catcher/processors under 125' LOA only)

Catcher/processors under 125' LOA that wish to process GOA inshore pollock or GOA inshore Pacific Cod must apply for a GOA inshore processing endorsement by checking the box below. A catcher/processor with a GOA inshore processing endorsement is prohibited from processing more than 126 mt of GOA pollock and GOA Pacific cod, in the aggregate, during any reporting week.

Once issued, a GOA inshore processing endorsement cannot be rescinded for the duration of a fishing year. See page 4 of the application instructions for further explanation.

☐ GOA Inshore Processing Endorsement

BLOCK D
SPECIES ENDORSEMENTS AND AMENDMENTS

Indicate below whether you plan to participate in any of the following four directed fisheries with gear other than jig gear: (1) Pacific cod; (2) pollock; (3) Bering Sea/Aleutian Islands (BSAI) Atka mackerel; and (4) Aleutian Islands (AI) Atka mackerel Harvest Limit Areas (HLA). See application instructions for information regarding registration requirements for the directed Atka mackerel fisheries inside the HLA in the AI and participation requirements for these fisheries. Your selections will remain valid until you submit a new Federal Fisheries Permit application removing those endorsements by checking "Remove Endorsement(s)" boxes below. Vessels participating in these fisheries must have a vessel monitoring system (VMS) operable at any time while the directed fishery that the permit is endorsed for is open. See the application instructions for more information on the VMS requirements.

PACIFIC COD	POLLOCK	BSAI ATKA MACKEREL	AI HARVEST LIMIT AREA ATKA MACKEREL
<u>GEAR TYPE</u>	<u>GEAR TYPE</u>	<u>GEAR TYPE</u>	<u>AREA</u>
<input type="checkbox"/> Trawl	<input type="checkbox"/> Trawl	<input type="checkbox"/> Trawl	<input type="checkbox"/> Statistical Area 542
<input type="checkbox"/> Hook-and-Line	<input type="checkbox"/> Hook-and-Line	<input type="checkbox"/> Hook-and-Line	<input type="checkbox"/> Statistical Area 543
<input type="checkbox"/> Pot	<input type="checkbox"/> Pot	<input type="checkbox"/> Pot	<input type="checkbox"/> Both
<input type="checkbox"/> Remove Endorsement(s)	<input type="checkbox"/> Remove Endorsement(s)	<input type="checkbox"/> Remove Endorsement(s)	<input type="checkbox"/> Remove Endorsement(s)

BLOCK E
APPLICANT SIGNATURE

Under penalties of perjury, I hereby declare that I, the undersigned, completed this application and that the information contained herein is true, correct, and complete to the best of my knowledge and belief.

Applicant Name (please print or type)	Signature	Date
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Privacy Act Statement: Federal regulations at 50 CFR part 679 authorize collection of this information. This information is used to verify the identity of the applicant(s) and to accurately retrieve confidential records related to federal commercial fishery permits. Where the requested information is a Social Security number (SSN), disclosure is voluntary; in the event it is not provided, NMFS will assign a unique code that will identify the records.

PUBLIC REPORTING BURDEN STATEMENT

Public reporting burden for this collection of information is estimated to average 0.35 hours per response, including the time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to NOAA, National Marine Fisheries Service, Alaska Region, Attn: Assistant Regional Administrator, Sustainable Fisheries Division, P.O. Box 21668, Juneau, AK 99802-1668.

ADDITIONAL INFORMATION

Before completing this form please note the following: 1) The NMFS may not conduct or sponsor this information request, and you are not required to respond to this information request, unless the form displays a currently valid OMB control number; 2) This information is mandatory and is required to manage commercial fishing effort in the GOA and BSAI under 50 CFR 679 and under 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et. seq.*); 3) Responses to this information request are confidential under section 402(b) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*). It is also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics. These procedures have been implemented under the NMFS Operations Manual entitled, "Data Security Handbook for the Northwest-Alaska Region, National Marine Fisheries Service."



INSTRUCTIONS TO THE APPLICATION FOR FEDERAL FISHERIES PERMIT



General Information

- ✓ Only U.S. Citizens are authorized to receive or hold a Federal Fisheries Permit.
- ✓ Complete a separate application for each vessel. Application forms and instructions are also available on the NMFS, Alaska Region web site at www.fakr.noaa.gov/ram.
- ✓ A copy of the U.S. Coast Guard Abstract of Title or Certificate of Documentation **must be included** with this application if ownership of the vessel listed in Block C has changed or if a Federal Fisheries Permit has never been issued using this vessel.
- ✓ Indicate whether or not you are amending your Federal Fisheries Permit. If you are amending your permit, enter the permit number where indicated and fill out Block A, the information you are changing, and sign and date the application in Block E.
- ✓ Type or print legibly in ink.
- ✓ Retain a copy of completed application for your records.
- ✓ Mail or deliver the completed application to:

**NMFS, Alaska Region
Restricted Access Management (RAM)
P.O. Box 21668
Juneau, Alaska 99802-1668**

Physical location: **Federal Building
709 W. 9th Street, Suite 713
Juneau, Alaska 99801**

Applications can be faxed to RAM at (907) 586-7354; however, permits **cannot** and **will not** be faxed back. The original, signed permit must be on board the vessel.

- ✓ If you have questions when completing the application, please call RAM at (800) 304-4846 (select option 2) or (907) 586-7202 (select option 2), check our web site at www.fakr.noaa.gov/ram, or e-mail your questions to RAM.Alaska@noaa.gov.

Federal Fisheries Permits are required for all vessels (including vessels fishing for groundfish, vessels processing groundfish, vessels tendering groundfish, and support vessels assisting other groundfish vessels):

- 1) used to fish for groundfish in the GOA or BSAI; or
- 2) that fish in the GOA or BSAI for any non-groundfish species and that are required to retain any bycatch of groundfish under 50 CFR Part 679. **Non-groundfish species include**, but are not limited to, halibut, crab, salmon, scallops, and herring.

Groundfish includes target species and the “other species” category specified annually under 50 CFR 679.20(a)(2).

Applicants for a Federal Fisheries Permit planning to participate in the directed fisheries for Pacific cod, pollock, or Atka mackerel fisheries using any gear type other than jig gear must complete Block D.

BLOCK A OWNER INFORMATION

1. Enter the full name(s) of the owner(s) of the vessel listed in Block B.

Note: If there is more than one owner, list the principal owner first. The permit will be issued to the first owner listed, with an *et al.* notation. The permit MUST be issued to the owner of the vessel, not to operators or lessees.

A copy of the U.S. Coast Guard Abstract of Title or Certificate of Documentation **must be included** with this application if ownership of the vessel used as a stationary floating processor listed in Block B has changed or if a Federal Processor Permit has never been issued using this vessel.

2. Enter your complete **permanent** business mailing address, including street or PO Box, state, and zip code. Your permit will be sent to this address. If you need to have your permit sent to a different address, please enter your **permanent** business address on the application and attach a note with your alternate address.
3. Enter your Social Security Number or Tax Identification Number.

Privacy Act Statement: Federal regulations at 50 CFR part 679 authorize collection of this information. This information is used to verify the identity of the applicant(s) and to accurately retrieve confidential records related to federal commercial fishery permits. Where the requested information is a Social Security Number (SSN), disclosure is voluntary; in the event it is not provided, NMFS will assign a unique code that will identify the records.

- 4-6. Enter the business telephone number, including area code, business fax number, and business e-mail address, if any, that are used by the vessel owner. It is very important that you provide a number where we can contact you, or where we can leave messages for you. If questions arise concerning your application and we are unable to contact you, issuance of your permit will be delayed.
7. Enter the name of the company, if other than the owner, that manages the operations of the vessel.

BLOCK B VESSEL INFORMATION

1. Enter the complete vessel name as displayed in the official documentation.
2. Enter the home port (city and state) as recorded in official documentation.
3. Enter ADF&G Processor Code.
4. Check whether the vessel is a vessel of the United States.
5. Enter U.S. Coast Guard documentation number (example: 566722).
6. Enter the 5-digit State of Alaska Department of Fish & Game (ADF&G) vessel registration number (example: 51233).

7. Enter the vessel's length overall (LOA) in feet and registered length in feet.

The **LOA** of a vessel means the centerline longitudinal distance, rounded to the nearest foot, measured between: (1) the outside foremost part of the vessel visible above the waterline, including bulwarks, but excluding bowsprits and similar fittings or attachments, and (2) the outside aftermost part of the vessel visible above the waterline including bulwarks, but excluding rudders, outboard motor brackets, and similar fittings or attachments (50 CFR 679.2).

8. Enter registered gross tonnage (U.S. tons) and net tonnage (U.S. tons) as stated in the official documentation.
9. Enter the shaft horsepower.

BLOCK C PERMIT INFORMATION

1. **Area of operation.** Check one or both areas of operation (GOA or BSAI) as desired.
2. **Type of Operation.** Indicate one or a combination of the types of vessel operation you request in the groundfish fisheries (see 50 CFR part 679.2 and 679.4(b)(3)).

Catcher Vessel - A vessel that is used for catching fish and that does not process fish on board.

Catcher/Processor - A vessel that is used for catching fish and processing that fish.

Mothership - A vessel that receives and processes groundfish from other vessels. Please note: If your vessel operates as a processor in Alaska State waters only, remains anchored, or otherwise remains stationary in a single geographic location while receiving or processing groundfish, your vessel is functioning as a stationary floating processor and you must complete a Federal Processor Permit application.

Tender Vessel (buying station) - A vessel that is used to transport unprocessed fish received from another vessel to a shoreside processor or mothership.

A vessel permitted as a catcher vessel, catcher-processor, mothership, and/or tender vessel may conduct all operations authorized for a support vessel.

Or check:

Support Vessel - Any vessel that is used in support of a permitted vessel, including, but not limited to, supplying a fishing vessel with water, fuel, provisions, fishing equipment, fish processing equipment or other supplies, or transporting processed fish.

A vessel permitted only as a support vessel may not conduct activities as a catcher vessel, catcher-processor, mothership, and/or tender vessel.

Recordkeeping and reporting requirements stipulated at 50 CFR part 679.5 must be followed. A logbook and appropriate forms may be obtained by contacting Sustainable Fisheries Division, NMFS, AKR by mail at P.O. Box 21668, Juneau, Alaska 99802-1668; or by calling 800-304-4846 (select option 3) or 907-586-7228; or by FAX at 907-586-7465.

Gear Type. If the permit request is for a catcher vessel or catcher/processor, indicate the gear type(s) used for groundfish operations.

3. GOA Inshore Processing Endorsement.

Catcher/processers under 125' LOA that wish to process GOA inshore pollock or GOA inshore Pacific cod must apply for a GOA inshore processing endorsement by completing No. 4 of Block C on the application. A catcher/processor with a GOA inshore processing endorsement is prohibited from processing more than 126 mt of GOA pollock and GOA Pacific cod, in the aggregate, during any reporting week. **Once issued, a GOA inshore processing endorsement cannot be rescinded for the duration of a fishing year.** It may be changed for the next fishing year by submitting an application for a permit amendment prior to the beginning of that fishing year.

For more information on the inshore/offshore regulations, contact Sustainable Fisheries Division toll free at (800) 304-4846 (select option #3) or (907) 586-7228.

Vessels holding the GOA inshore processing endorsement face additional operating restrictions (see 50 CFR 679.7).

BLOCK D PACIFIC COD, POLLOCK, ATKA MACKEREL ENDORSEMENTS AND AMENDMENTS

If you plan to participate in the directed fisheries for Pacific cod, pollock, and/or Atka mackerel with any gear other than jig gear, your Federal Fisheries Permit must be endorsed for these species by gear type used.

Vessels participating in these directed fisheries in the EEZ off Alaska are required to have on board and use a Vessel Monitoring System (VMS) while the directed fisheries for which the permit is endorsed are open, regardless of where the vessel is fishing at the time (including Alaska State waters) or what the vessel is targeting.

NMFS has implemented a system of “platoon” management for Atka mackerel fishing in Steller sea lion Harvest Limit Areas (HLA), as set forth in 50 CFR 679.20(a)(8)(iii), in statistical areas 542 and 543 (central and western AI). A semi-annual lottery performed by NMFS, Alaska Region will determine placement in a fishing group in either 542 or 543 in each of two seasons (A season and B season). Once an endorsement is elected, it remains valid until amended (or the permit expires or is revoked, suspended, etc.). If registered to fish Atka mackerel in the HLA in statistical areas 542 or 543, the vessel may not participate in any other groundfish fishery in the BSAI or GOA until their first assigned Atka mackerel directed fishery in the HLA is closed to directed fishing. Changes to the Atka mackerel fisheries registration must be received, in writing, by National Marine Fisheries Service, Restricted Access Management (RAM) by January 1 for “A” season and July 31 for “B” season.

BLOCK E APPLICANT SIGNATURE

The applicant must sign and date the application certifying that all information is true, correct, and complete to the best of his/her knowledge and belief. The application will be considered incomplete without this signature.

SPECIAL HANDLING OF PERMITS

Please allow at least 10 days for processing your permit. **Do not wait until right before an opening to apply for your permit**, as you may not receive it on time. You may FAX your permit application to us at (907) 586-7354, but a permit **cannot and will not** be faxed back to you.

If you would like to have your permit sent by a method other than regular mail, please attach a note indicating a method, and follow the appropriate procedure below.

Express Mail. If you would like to have your permit sent to you by U.S. Postal Express Mail, determine from the table below the weight and dimensions of the appropriate documents. Send us an express mail envelope with the correct amount of postage prepaid or send express mail stamps UNATTACHED to an envelope. **NOTE:** If the express mail envelope you send is too small or the postage attached is less than the amount required, your permit and logbook will be sent to you by regular U.S. mail.

Other Express Carriers. If you would like to have your permit sent to you by a private express carrier, e.g., Federal Express, UPS, DHL, etc., submit your account number and name of carrier or a prepaid envelope with the permit application.

Logbooks and appropriate forms may be obtained by contacting the Sustainable Fisheries Division, NMFS, AKR by mail at P.O. Box 21668, Juneau, Alaska 99802-1668; by phone at 800-304-4846 (select option 3) or 907-586-7228; or by FAX at 907-586-7465.

	Dimensions (inches)	Weight (lb,oz)
Catcher vessel, trawl gear logbook	11 x 12	3.4
Catcher vessel, longline and pot gear logbook	11 x 15	4.0
Catcher/processor, trawl gear logbook	11 x 12	3.0
Catcher/processor, longline and pot gear logbook	11 x 15	3.6
Mothership logbook	11 x 12	3.0

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